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PTO/SB/05 (03-01)

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

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Attorney Docket No.	11032-041-999 Total Page				11
First Name	n Identifier	D .	40		
Laurence WARDEN		000	ين پ		
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APPLICATION ELEMENTS See MPEP chapters 600 concerning utility patent application contents.			Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450							
1. 🖾		Fee Transmittal Form (Submit an original, and a duplicate for fee processing)			7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)					
2. 🗆	Applica	icant claims Small Entity status, see 37 C.F.R. § 1.27		8. 🔲	8.  Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3. 🖾	Specific	cation [Total Pages 80]			a. Computer Readable Form (CRF)					
(preferred arrangement set forth below, MPEP 1503.01)  - Descriptive title of the Invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R&D  - Reference to Microfiche Appendix		<ul> <li>b. ☐Specification Sequence Listing on:</li> <li>i. ☐ CD-ROM or CD-R (2 copies); or</li> <li>ii. ☐ paper</li> <li>c. ☐Statement verifying identity of above copies</li> </ul>								
- Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed)				ACCOMPANYING APPLICATION PARTS						
	- Detaile	d Description of th	e Invention (includi	ng drawings, if filed)	9. 🗆	Assignment	Papers (cover sheet	& document(s))		
- Claim(s) - Abstract of the Disclosure			10. 🗆		3(b) Statement is an assignee)	☐ Power of	of Attorney			
4. 🛛	Drawing	(s) <i>(35 USC 113)</i>		[Total Sheets 26]	11.	English Tra	nslation Document (i	f applicable)		
5. 🖾	Oath or 1	Declaration (Une	xecuted)	[Total Sheets 2]	12. 🗆	Information Statement (	Disclosure (DS)/PTO-1449	Copies Citation		
	a. 🔲	Newly executed	(original or copy)		13. 🗀	Preliminary	Amendment			
b. Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S)  Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		14. 🖾 15. 🗆 16. 🗆	Certified Copy of Priority Document(s)  (if foreign priority is claimed)  Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant							
6. 🗆	Applicat	lication Data Sheet. See 37 CFR 1.76				must attach form PTO/SB/35 or it's equivalent  Other:				
18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:										
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: filed .										
Prior application information: Examiner: Group Art Unit:										
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.										
19. CORRESPONDENCE ADDRESS										
☐ Customer Number 20583 or ☐ Correspondence address below  **Insert Customer No.**  Or ☐ Correspondence address below										
NAME										
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CITY						TATE		ZIP CODE		
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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## PENNIE & EDMONDS LLP

COUNSELORS AT LAW 1155 Avenue of the Americas New York, New York 10036-2711

ATTORNEY DOCKET NO. 11032-0041-999

Date: December 31, 2003

Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s):

Laurence Warden

Executed on:

Unexecuted

Title of Invention:

WAVEGUIDE COMPRISING SCATTERED LIGHT DETECTABLE PARTICLES

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE	
Total Claims	48	- 20	28	\$18.00 each	\$	504
Independent	3	- 3	0	\$86.00 each	\$	0
Minimum Fee						770
Multiple Dependency Fee If Applicable (\$290.00)				\$	290	
Total  Applicant qualifies for the 50% Reduction for Independent Inventor,						1564
Nonprofit Organization or Small Business Concern  Total Filing Fee					\$	0
					\$	1564

- DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
- [ ] Priority of application no. filed on is claimed under 35 U.S.C. § 119.
- [ ] The certified copy of the priority application has been filed in application no. filed on .

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

Laura A. Coruzzi

30,742 (Reg No.)

By:

T. Christopher Tsang

70,230

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Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.